

Package ‘PropScrRand’

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Type Package

Title Propensity score methods for assigning treatment in randomized trials

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Description This package contains functions to run propensity-biased allocation to balance covariate distributions in sequential trials and propensity-constrained randomization to balance covariate distributions in trials with known baseline covariates at time of randomization. Currently this package only supports trials comparing two groups.

License GPL-3

NeedsCompilation no

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R topics documented:

PropScrRand-package	2
genPerms	2
getVar	3
pba	4
pcr	5
piFunction	7
plotpi	8
Index	9

PropScrRand-package	<i>Propensity score methods for assigning treatment in randomized trials</i>
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Description

Provides propensity score-based methods for allocating units to treatment experiments with two treatment levels (e.g., treatment and control).

Details

Package:	PropScrRand
Type:	Package
Version:	1.1
Date:	2013-11-14
License:	GPL-3

For sequential allocation, the functions the user will interact with directly are `pba` and `pbaAgain`. Both of these functions perform propensity-biased allocation, producing a treatment assignment for the current unit, among other information. The function `plotpi` can be used to investigate the strength of balance forced by various values of the tuning parameter `k`, with curves for new values of `k` added to the plot via `addpi`. For randomization when all baseline covariates are known, use `pcr`, which will conduct propensity-constrained randomization. The remaining functions are called from these internally.

Author(s)

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genPerms	<i>Generate Treatment Permutations</i>
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Description

Used within calls to `pcr` to generate a set of unique treatment permutations for randomization.

Usage

```
genPerms(n, n1, nPerms)
```

Arguments

n	Total number of units to be randomized.
n1	Number of units to receive treatment.
nPerms	Number of permutations to generate.

Details

This function randomly samples nPerms of the choose(n, n1) possible treatment permutations. If nPerms > choose(n, n1), then all choose(n, n1) permutations are generated systematically. Also, in the case of 1-to-1 allocation, the complement treatment vectors are also produced, so the returned matrix has 2*nPerms permutations. Uniqueness is checked throughout and duplicate permutations disgarded.

Value

The result is an n1 x nPerms (or n1 x choose(n, n1) or n1 x 2*nPerms) matrix. Each column represents one treatment permutation, with the values in the column giving the index of the treated units.

Author(s)

Travis M. Loux

Examples

```
genPerms(n=50, n1=25, nPerms=500)
genPerms(n=50, n1=35, nPerms=500)
```

getVar

Compute Propensity Score Variance

Description

Given a data set and vector of indices for treated units, computes the variance of the propensity score fitted via logistic regression.

Usage

```
getVar(covs, tIndex)
```

Arguments

covs	A data frame of baseline covariates.
tIndex	A vector indicating which units are to receive treatment.

Value

Returns the variance of the fitted propensity scores.

Author(s)

Travis M. Loux

pba

Propensity-Biased Allocation

Description

Performs propensity-biased allocation for assigning a new unit to treatment in a sequential design with two treatment levels (i.e., treatment and control).

Usage

```
pba(x, tr, newx, k = 1, global = 0.5)
pbaAgain(previous, newx, k = NA)
```

Arguments

x	A data frame of the covariate values of previously assigned units.
tr	A vector of treatment assignments (0 or 1) for previously assigned units.
newx	Data frame of covariate values of the new unit.
k	Balancing parameter.
global	Global target proportion to be treated.
previous	The output of a previous call to pba or pbaAgain

Details

The function `pba` generates a treatment assignment for a new unit. The steps of the process include regressing `tr` on `x` by logistic regression, computing the fitted value of the new unit using covariate values in `newx`, and transforming the fitted propensity score into the probability of treatment by a call to [piFunction](#) using `k` and `global` as parameters. The balancing parameter `k` must be one of 0, Inf, or the ratio of two positive odd integers. Small values of `k` result in less restrictive randomization while larger values of `k` result in more forced balance. In particular, `k = 0` is equivalent to pure randomization and `k = Inf` results in deterministic allocation. Finally, a treatment assignment for the new unit is generated via a Bernoulli trial with probability from [piFunction](#).

The function `pbaAgain` takes as input the output from a previous call to `pba` or `pbaAgain` and runs `pba` for the new unit using the values of `newx`. If `k = NA` (the default), the value of `k` from `previous` is used; otherwise, the provided value of `k` is used. The parameter `global` is assumed to stay the same throughout the trial. The output of `pbaAgain` contains the same information as `pba`.

Value

results	A list of results from the PBA procedure.
phat	The fitted propensity score for the new unit.
ptreat	The probability of assignment to the treatment group for the new unit.
newtr	Result of random assignment using ptreat.
input	A list of inputs to PBA procedure. Used in future calls to pbaAgain.
x	Input x.
tr	Input tr.
newx	Input newx.
k	Input k.
global	Input global.

Author(s)

Travis M. Loux

References

Loux, T.M. (2013) A simple, flexible, and effective covariate-adaptive treatment allocation procedure. *Statistics in Medicine* 32(22), 3775-3787. doi: [10.1002/sim.5837](https://doi.org/10.1002/sim.5837)

Examples

```
x0 = data.frame(matrix(rnorm(60), ncol=3))
t0 = rbinom(nrow(x0), size=1, prob=0.5)

x1 = data.frame(matrix(rnorm(3), ncol=3))
trial1 = pba(x=x0, tr=t0, newx=x1, k=Inf)

x2 = data.frame(matrix(rnorm(3), ncol=3))
trial2 = pbaAgain(previous=trial1, newx=x2)

x3 = data.frame(matrix(rnorm(3), ncol=3))
trial3 = pbaAgain(previous=trial2, newx=x3, k=5/3)
```

pcr

Propensity-Constrained Randomization

Description

Performs propensity-constrained randomization on a given data set with measured covariates on all units.

Usage

```
pcr(x, nTreat, M, m)
```

Arguments

x	Data frame of covariates.
nTreat	Number of units to be treated.
M	Number of candidate permutations to create.
m	Number of permutations to keep.

Details

Given the parameters, `pcr` generates M unique permutations by calling `genPerms`. For each permutation, the empirical propensity scores are computed and the variance returned by `getVar`. Finally, the m permutations with the smallest propensity score variance are found. The m permutations returned in `best` can then be used to perform randomization and randomization inference. We suggest $M \geq 10000$ and $m/M \leq 0.10$.

Value

treatments	The (approximately) M permutations generated by <code>genPerms</code> .
variance	A vector of the propensity score variances for all M permutations in <code>treatments</code> .
cutoff	The calculated m/M quantile of propensity score variances.
best	The column indices of the permutations in <code>treatments</code> with propensity score variance below <code>cutoff</code> .

Author(s)

Travis M. Loux

Examples

```
# 1:1 allocation, M small
dat1 = data.frame(x1=rnorm(50),
                  x2=rnorm(50),
                  x3=sample(c('a','b','c'), size=50, replace=TRUE))
trial1 = pcr(x=dat1, nTreat=25, M=500, m=50)

# 1:1 allocation, M large
dat2 = data.frame(x1=rnorm(10),
                  x2=rnorm(10),
                  x3=sample(c('a','b','c'), size=10, replace=TRUE))
trial2 = pcr(x=dat2, nTreat=5, M=200, m=20)

# non-1:1 allocation, M small
dat3 = data.frame(x1=rnorm(50),
                  x2=rnorm(50),
                  x3=sample(c('a','b','c'), size=50, replace=TRUE))
trial3 = pcr(x=dat3, nTreat=35, M=200, m=20)

# non-1:1 allocation, M large
dat4 = data.frame(x1=rnorm(10),
                  x2=rnorm(10),
```

```
x3=sample(c('a','b','c'), size=10, replace=TRUE))
trial4 = pcr(x=dat4, nTreat=6, M=300, m=30)
```

piFunction

Get PBA Treatment Probability

Description

Used within calls to pba and pbaAgain to obtain the probability a unit is assigned treatment given its fitted propensity score.

Usage

```
piFunction(fit, kparam, qparam)
```

Arguments

fit	Fitted propensity score.
kparam	Balancing parameter.
qparam	Global target for proportion of units treated.

Details

The input kparam must be one of 0, Inf, or the ratio of two positive odd integers. Both fit and qparam must be between 0 and 1.

Value

A numeric object. In the context of PBA, the probability of assignment to treatment for the current unit.

Author(s)

Travis M. Loux

Examples

```
piFunction(fit=0.6, kparam=1, qparam=0.5)
piFunction(fit=0.6, kparam=5, qparam=0.5)
piFunction(fit=0.6, kparam=1/5, qparam=0.5)

piFunction(fit=0.6, kparam=1, qparam=2/3)
piFunction(fit=0.6, kparam=5, qparam=2/3)
piFunction(fit=0.6, kparam=1/5, qparam=2/3)
```

`plotpi`*Plots of piFunction*

Description

Can be used to investigate the strength of balance forced by various values of the tuning parameter k .

Usage

```
plotpi(k, global = 0.5)
addpi(k, global = 0.5, ...)
```

Arguments

<code>k</code>	Balancing parameter.
<code>global</code>	Global target for proportion of units treated.
<code>...</code>	Parameters in <code>addpi</code> passed to <code>lines</code> function.

Details

The function `plotpi` creates a plot of treatment probability against fitted propensity score for values of k and `global`. The function `addpi` adds a curve for a new combination of k and `global` to an existing plot.

Author(s)

Travis M. Loux

Examples

```
plotpi(k=3, global=0.5)
addpi(k=5/3, lty=2, col='red')
plotpi(k=1/3, global=2/3)
```

Index

*Topic **design**

genPerms, [2](#)

pba, [4](#)

pcr, [5](#)

PropScrRand-package, [2](#)

*Topic **multivariate**

pba, [4](#)

pcr, [5](#)

PropScrRand-package, [2](#)

addpi (plotpi), [8](#)

genPerms, [2](#), [6](#)

getVar, [3](#), [6](#)

pba, [4](#)

pbaAgain (pba), [4](#)

pcr, [5](#)

piFunction, [4](#), [7](#)

plotpi, [8](#)

PropScrRand (PropScrRand-package), [2](#)

PropScrRand-package, [2](#)